01/03/2022 12:18 CWA1168 (FAX)716 636 9100 P.002/002

Form NLRB - 501 (2-08)

UNITED STATES OF AMERICA
NATIONAL LABOR RELATIONS BOARD
CHARGE AGAINST EMPLOYER

**INSTRUCTIONS:** 

DO NOT WRITE IN THIS SPACE

Case Date Filed

3-CA-288339 January 3, 2022

File an original of this charge with NLRB Regional Director in which the alleged unfair labor practice occurred or is occurring.

1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT

a. Name of Employer b. Tel. No. Kaleida Health (716) 630-8766 c. Cell No. d. Address (street, city, state ZIP code) e. Employer Representative f. Fax No. 650 Airborne Parkway Buffalo, NY 14225 Paul M. Coleman (716) 630-8674 Director, Human Resources g. e-Mait pcoleman@kaleidahealth.org h. Dispute Location (City and State) Buffalo, NY i. Type of Establishment (factory, nursing home. j. Principal Product or Service k. Number of workers at dispute location hotel) healthcare acute care hospital 9000

I. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (5) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.

2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices)

Since about December 6, 2021, the Employer has falled to bargain collectively and in good falth with Communications Workers of America, and its Local 1168, by unliaterally failing to abide by a grievance settlement regarding laboratory operations and movement of tests.

3. Full name of party filing charge (if labor organization, give	full name, including local name and num	han
Communications Workers of America, Local 1		vai )
4a. Address (street and number, city, state, and ZIP code)	100	4b. Tel. No.
1900 Sweet Home Road, Amherst, NY 14228		(716)639-1168
		4c. Cell No.
		(716)553-7631
		4d, Fax No.
		4e. e-Mail
		rhosinski@cwa1168.org
<ol> <li>Full name of national or international labor organization of organization)</li> </ol>	which it is an aimlate or constituent unit (	to be filled in when charge is filled by a labor
<ol> <li>DECLARATION         I declare that I have read the above charge and that the my knowledge and belief.     </li> </ol>	e statements are true to the best of	Tel. No. (716)639-1168
5. Tess Hours	Ron Hosinski, Area Vice President	Office, if any, Cell No. (716)553-7631
(signature of representative or person making charge)	Print Name and Title	Fax No.
Address: 1900 Sweet Home Road Amherst, NY 14228	Date: 1/3/2022	e-Mail rhosinski@cwa1168.org

## WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

January 27, 2022

Paul Coleman, Human Resources Director Kaleida Health 650 Airborne Parkway Buffalo, NY 14225

> Kaleida Health Case 03-CA-288339

Dear Mr. Coleman:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Nancy Wilson

NANCY WILSON Acting Regional Director

cc: Ronald Hosinski, Area Vice President Communication Workers of America Local 1168, AFL-CIO 1900 Sweet Home Road Amherst, NY 14228

FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET FORM NLRB-501

Address Buffalo NY 14214

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
03-CA-288285	12/30/2021	

INCTUICTIONS.		03-CA-288285		
INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the	ا e alleged unfair labor prac	tice occurred or is occurri	na.	
	GAINST WHOM CHA			
a. Name of Employer			b. Tel. l	No. (740) 050 0700
Kaleida Health- Millard Fillmore Suburban Hospital				(716) 859-2793
•			c. Cell I	No. (716) 485-8990
			f. Fax N	lo.
d. Address (Street, city, state, and ZIP code)	e. Employer Represen	tative		
1540 Maple Road	Holly Ann Georgell		g. e-Ma	iil
NY Williamsville 14221	Chief Labor Relations	Officer	Н	lageorgell@kaleidahealth.org
W Williamsville 14221			h. Num	ber of workers employed 2000
Type of Establishment (factory, mine, wholesaler, etc.)     Healthcare Facilities	j. Identify principal pro Healthcare	duct or service		
k. The above-named employer has engaged in and is engaging i		within the meaning of sec	tion 8(a) si	ubsections (1) and (list
subsections) 5	in uniui luboi piuciicos i			
				s Act, and these unfair labor
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A	-	air labor practices are un	fair practice	es affecting commerce
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting ti	he alleged unfair labor pr	actices)	
See additional page				
3. Full name of party filing charge (if labor organization, give full		ame and number)		
Nora Moore Title: Admir 1199SEIU United Healthcare Workers East	nistrative Organizer			
4a. Address (Street and number, city, state, and ZIP code)			4b. Tel. No	).
ia. riaarooo (earoot ara riarrisor, org, otato, ara 211 ocae)				(716) 913-5958
2421 Main Street			4c. Cell No	).
NY Buffalo 14214			44 5 1	
			4d. Fax N	o. (716) 876-0930
			4e. e-Mail	
				nora.moore@1199.org
Full name of national or international labor organization of wh	nich it is an affiliato or cou	estituent unit /to be filled		
organization)	iich it is an aniliate or coi	istituent unit (to be illeu	ii wiieii ciid	arge is filed by a labor
AFL-CIO				
6. DECLARATION			Tel. No.	
I declare that I have read the above charge and that the statements	are true to the best of my k	knowledge and belief.	(7	716) 913-5958
1/070	Nora Maora		Office if a	ny, Cell No.
	Nora Moore Administrative Organize	r	Jinco, ii di	iy, coll No.
-,	Print/type name and title or		Fax No.	
			(7	716) 876-0930
2424 Main Chroat			e-Mail	
2421 Main Street		12/29/2021 03:03:25 PM		nora.moore@1199.org

# WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

(date)

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining

representative of its employees by failing to furnish information requested by the union.

Date of request	Employer representative	List items requested	Date refused
08/20/2021	(b) (6), (b) (7)(C)	Copy of Contract between CSI and Kaleida	

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

February 8, 2022

Holly Ann Georgell, Chief Labor Relations Officer Kaleida Health- Millard Fillmore Suburban Hospital 1540 Maple Road Williamsville, NY 14221

> Kaleida Health- Millard Fillmore Suburban Hospital Case 03-CA-288285

Dear Ms. Georgell:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Linda M. Leslie

LINDA M. LESLIE Regional Director

cc: Nora Moore, Administrative Organizer 1199SEIU United Healthcare Workers East 2421 Main Street Buffalo, NY 14214 INTERNET FORM NLRB-501

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
03-CA-288212	12/29/2021	

#### INSTRUCTIONS:

ile an original with NLRB Regional Director for the region in which the		g.	
a. Name of Employer	GAINST WHOM CHARGE IS BROUGHT	b. Tel. No.	
		(716) 859-2793	
Kaleida Health at Oishei Children's Hospital		c. Cell No. (716) 485-8990	
		f. Fax No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	i. rakito.	
400 High Observa Decree 0004	Helle Ass Ossessil	g. e-Mail	
100 High Street Room C281	Holly Ann Georgell Chief Labor Relations Officer	Hageorgell@kaleidahealth.org	
NY Buffalo 14203	Citici Edboi Nolations Officer	h. Number of workers employed 8000	
i. Type of Establishment (factory, mine, wholesaler, etc.) Healthcare	j. Identify principal product or service Healthcare		
k. The above-named employer has engaged in and is engaging in		on 8(a), subsections (1) and (list	
subsections) 5	-		
practices are practices affecting commerce within the meaning		r Relations Act, and these unfair labor	
within the meaning of the Act and the Postal Reorganization A		all practices affecting confinence	
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting the alleged unfair labor pra	ctices)	
See additional page			
Gee additional page			
3. Full name of party filing charge (if labor organization, give full	name, including local name and number)		
Nora Moore Title: Administrative Organizer			
1199SEIU United Healthcare Workers East			
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No. (716) 913-5958	
2421 Main Street NY Buffalo 14214		4c. Cell No. (716) 913-5958	
NT Bullato 14214		4d. Fax No. (716) 876-0930	
	4	łe. e-Mail	
		nora.moore@1199.org	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization)			
AFL-CIO	T	<del>-</del>	
6. DECLARATION I declare that I have read the above charge and that the statements		Tel. No. (716) 913-5958	
Nora	Nora Moore (	Office, if any, Cell No.	
	Administrative Organizer	(716) 913-5958	
	Print/type name and title or office, if any)	Fax No. (716) 876-0930	
	6	e-Mail	
2421 Main Street Address Buffalo NY 14214	12/28/2021 02:59:13 PM (date)	e-Mail nora.moore@1199.org	

# WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees.

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees by making unilateral changes in terms and conditions of employment.

List Changes	Approximate date of change
চাটে চাটেলে told employees not to go to the Union.	<sup>(D)(6), (D)(7)</sup> /2021
তাতে তালেভা directly dealt with our members	<sup>(b)(6),(b)(7)</sup> /2021
Employer met with employees in the IR dept without	12/01/2021
Employer imposed on-call to members in IR dept.	12/28/2021

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

January 24, 2022

Holly Ann Georgell, Chief Labor Relations Officer Kaleida Health at Oishei Children's Hospital 100 High Street Room C281 Buffalo, NY 14203

Kaleida Health at Oshei Children's Hospital Case 03-CA-288212

Dear Ms. Georgell:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ NANCY WILSON

NANCY WILSON Acting Regional Director

cc: Nora Moore, Administrative Organizer 1199SEIU United Healthcare Workers East 2421 Main Street Buffalo, NY 14214 INTERNET FORM NLRB-501

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
3-CA-285659	October 28, 2021	

### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the		g.	
	GAINST WHOM CHARGE IS BROUGHT	b. Tel. No.	
a. Name of Employer Kaleida Health		(716) 485-8990	
		c. Cell No. (716) 485-8990	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	f. Fax No.	
		g. e-Mail	
100 high street	Holly Georgell Esq. Chief Labor Relations Officer	HAGeorgell@KaleidaHealth.org	
NY Buffalo 14213	Chief Labor Relations Officer	h. Number of workers employed 10000	
i. Type of Establishment (factory, mine, wholesaler, etc.) Healthcare	j. Identify principal product or service acute care		
k. The above-named employer has engaged in and is engaging i	in unfair labor practices within the meaning of sect	tion 8(a), subsections (1) and (list	
subsections) 5	of the National Labo	or Relations Act, and these unfair labor	
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A	g of the Act, or these unfair labor practices are unt	,	
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting the alleged unfair labor pra	actices)	
		,	
See additional page			
3. Full name of party filing charge (if labor organization, give full	name, including local name and number)		
Patrick M Weisansal II Title: Vice-President COMMUNICATION WORKERS OF AMERICA, Local 1168			
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No. (716) 725-4953	
1900 sweet home road NY amherst 14228		4c. Cell No. (716) 725-4953	
NT AITHEIST 14220		4d. Fax No.	
	[	4e. e-Mail	
		pweisansalii@cwa1168.org	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization)			
COMMUNICATION WORKERS OF AMERICA			
6. DECLARATION I declare that I have read the above charge and that the statements a	are true to the best of my knowledge and belief.	Tel. No. (716) 725-4953	
	Patrick M Weisansal II Vice-President	Office, if any, Cell No. (716) 725-4953	
-,	Print/type name and title or office, if any)	Fax No.	
	-	e-Mail	
1900 sweet home road	10/28/2021 03:03:03 PM	pweisansalii@cwa1168.org	
Address amherst NY 14228	(date)	Firementaling	

## WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining

representative of its employees by failing to furnish information requested by the union.

Date of request	Employer representative	List items requested	Date refused
08/25/2021	(b) (8), (b) (7)(C)	Anecdotal notes of incident	09/15/2021
08/25/2021	(b) (6), (b) (7)(C)	Any and all witness statements	09/15/2021
08/25/2021	(b) (8). (b) (7)(C)	Corporate Compliance records of HIPAA violations	09/15/2021
08/25/2021	(b) (6). (b) (7)(C)	Medication orders for patients accessed	09/15/2021
08/25/2021	(b) (6), (b) (7)(C)	Pharmacy diversion reports	09/15/2021
08/25/2021	(b) (8). (b) (7)(C)	Medication orders for patients assigned	09/15/2021
08/25/2021	(b) (8). (b) (7)(C)	Patient assignments for grievant	09/15/2021
08/25/2021	(b) (6). (b) (7)(C)	Explanation of "recent activity is alarming" state	09/15/2021
08/25/2021	(b) (6), (b) (7)(C)	Monthly scan rate for grievant	09/15/2021
08/25/2021	(b) (6). (b) (7)(C)	information related to medication bag administered	09/15/2021
08/25/2021	(b) (6). (b) (7)(C)	Documentation of EAP being offered	09/15/2021
08/26/2021	(b) (ð). (b) (7)(C)	Any complaints, concerns, statements pertaining	09/15/2021

NATIONAL LABOR
REGION 03
130 S Elmwood Ave Ste 630
Buffalo, NY 14202-2465

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

November 16, 2021

Holly Georgell, ESQ., Chief Labor Relations Officer Kaleida Health 100 high street Buffalo, NY 14213

> Kaleida Health Case 03-CA-285659

Dear Ms. Georgell:

The Charging Party has asked to withdraw the above charge based upon a private agreement between the parties. I have approved this request, conditioned on the performance of the undertakings in that private agreement.

The charge is subject to reinstatement for further processing if the Charging Party requests reinstatement and supports its request with evidence of non-compliance with the undertakings in the private agreement.

Very truly yours,

/s/ Nancy Wilson

NANCY WILSON Acting Regional Director

cc: Patrick M Weisansal, Vice-President COMMUNICATION WORKERS OF AMERICA, Local 1168 1900 sweet home road amherst, NY 14228 INTERNET FORM NLRB-501

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
03-CA-282200	8/31/2021	

#### INSTRUCTIONS:

ile an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.			
	GAINST WHOM CHARGE IS BROUGHT	b. Tel. No.	
a. Name of Employer Kaleida Health		(716) 485-8990	
		c. Cell No. (716) 485-8990	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	f. Fax No.	
100 high street	Holly Georgell Esq.	g. e-Mail	
NY Buffalo 14203	Chief Labor Relations Officer	HAGeorgell@KaleidaHealth.org	
		h. Number of workers employed 10000	
i. Type of Establishment (factory, mine, wholesaler, etc.) Healthcare	j. Identify principal product or service acute care		
k. The above-named employer has engaged in and is engaging it		ion 8(a), subsections (1) and (list	
subsections) 5		r Relations Act, and these unfair labor	
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A	g of the Act, or these unfair labor practices are unfa	,	
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting the alleged unfair labor pra	ctices)	
See additional page			
3. Full name of party filing charge (if labor organization, give full	-		
Patrick M Weisansal II  Communications Workers of America, Local 1168  Title: Vice-I	President		
4a. Address (Street and number, city, state, and ZIP code)	•	4b. Tel. No. (716) 725-4953	
1900 sweet home road NY amherst 14228		4c. Cell No. (716) 725-4953	
The dimension region is	ľ	4d. Fax No.	
	4	4e. e-Mail	
		pweisansalii@cwa1168.org	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization)  Communications Workers of America			
6. DECLARATION I declare that I have read the above charge and that the statements		Tel. No. (716) 725-4953	
	Patrick M Weisansal II Vice-President	Office, if any, Cell No. (716) 725-4953	
-,	Drint/type name and title or office if any)	Fax No.	
1900 sweet home road Address_amherst NY 14228	08/30/2021 01:28:44 PM (date)	e-Mail pweisansalii@cwa1168.org	

## WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining

representative of its employees by failing to furnish information requested by the union.

Date of request	Employer representative	List items requested	Date refused
08/02/2021	(b) (8). (b) (7)(C)	Any and all anecdotal notes pertaining to the inci	08/04/2021
08/02/2021	(b) (8), (b) (7)(C)	Any and all witness statements	08/04/2021
08/02/2021	(b) (6), (b) (7)(C)	Coworker complaint letters	08/04/2021
08/02/2021	(b) (ð). (b) (7)(C)	Interview conducted of all ILCU staff	08/04/2021

NATIONAL LABOR
REGION 03
130 S Elmwood Ave Ste 630
Buffalo, NY 14202-2465

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

1 ax. (1 10)551-451

November 17, 2021

Erin Schaefer, ESQ. 875 Third Avenue, Epstein Becker & Green, P.C. New York, NY 10022

> Kaleida Health Case 03-CA-282200

Dear Ms. Schaefer:

The Charging Party has asked to withdraw the above charge based upon a private agreement between the parties. I have approved this request, conditioned on the performance of the undertakings in that private agreement.

The charge is subject to reinstatement for further processing if the Charging Party requests reinstatement and supports its request with evidence of non-compliance with the undertakings in the private agreement.

Very truly yours,

/s/ Nancy Wilson

NANCY WILSON Acting Regional Director

cc: Holly Georgell, Chief Labor Relations
Officer
Kaleida Health
100 high street
Buffalo, NY 14203

Patrick M Weisansal, Vice-President Communications Workers of America, Local 1168 1900 Sweet Home Road Amherst, NY 14228 Form NLRB - 501 (2-08)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

#### **CHARGE AGAINST EMPLOYER**

File an original of this charge with NLRB Regional Director in which the alleged unfair labor practice occurred or is occurring.

**INSTRUCTIONS:** 

 DO NOT WRITE IN THIS SPACE

 Case
 Date Filed

 03-CA-281142
 8/11/2021

1.	EMPLOYER AGAINST WHOM CHARGE IS BE	ROUGHT
a. Name of Employer		b. Tel. No.
Kaleida Health		(716)859-8000
		c. Cell No.
d. Address (street, city, state ZIP code)	e. Employer Representative	f. Fax No.
726 Exchange St., Buffalo, NY	Robert Heftka	
14210	Labor Relations Counsel	g. e-Mail
		rheftka@kaleidahealth.org
		h. Dispute Location (City and State)
i. Type of Establishment (factory, nursing home,	j. Principal Product or Service	Buffalo, NY k. Number of workers at dispute location
hotel)	j. i inicipal i roddet of dervice	k. Number of workers at dispute location
Hospital	Acute Care	10000
rioopitai	/ touto ouro	10000
I. The above-named employer has engaged in and	is engaging in unfair labor practices within the	meaning of section 8(a), (1) and (5) of the National
Labor Relations Act, and these unfair labor practic are unfair practices affecting commerce within the	es are practices affecting commerce within the i	meaning of the Act, or these unfair labor practices
Basis of the Charge (set forth a clear and concise)	se statement of the facts constituting the alleged	d unfair labor practices)
		d in good faith with CWA 1168, by failing
and refusing to timely provide and/or u		
requested by Patrick Weisansal on Jul		
,,	,	
3. Full name of party filing charge (if labor organiza	ation, give full name, including local name and n	number)
Communication Workers of America 1		
4a. Address (street and number, city, state, and ZI		4b. Tel. No.
1900 Sweet Home Road, Amherst, NY	′ 14228	(716)725-4953
		4c. Cell No.
		(716)725-4953 4d. Fax No.
		44. 1 dx 140.
		4e. e-Mail
		pweisansalii@cwa1168.org
5. Full name of national or international labor organ	nization of which it is an affiliate or constituent u	nit (to be filled in when charge is filed by a labor
organization)		
Communication Workers of America 11	68	
6. DECLARATION		Tel. No.
I declare that I have read the above charge a my knowledge and belief.	nd that the statements are true to the best of	f (716)725-4953
my knowledge and benen		Office, if any, Cell No.
0,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1	- Patrick Weisansal II Vice	(716)725-4953
By fatul on unionsal I	President	
(signature of representative or person making cl		Fax No.
Address: 1900 Sweet Home Road, Amh	erst, Date:	e-Mail
NY 14228		pweisansalii@cwa1168.org

## WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

NATIONAL LABOR
REGION 03
130 S Elmwood Ave Ste 630
Buffalo, NY 14202-2465

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

November 19, 2021

Robert Heftka, Labor Relations Counsel Kaleida Health 726 Exchange Street Buffalo, NY 14210

Re: Kaleida Health

Case 03-CA-281142

Dear Mr. Heftka:

Your request to withdraw the charge you filed against Kaleida Health is based upon a private agreement between the parties on the matters underlying this charge. I have approved this withdrawal request, conditioned on the performance of the undertakings in the private agreement between the parties. The charge is subject to reinstatement for further processing if the charging party's request for reinstatement is supported by evidence of noncompliance with the undertakings in the private agreement.

Very truly yours,

/s/NANCY WILSON

NANCY WILSON Acting Regional Director

cc: Patrick Weisansal, Vice President
Communications Workers of America,
Local 1168
1900 Sweet Home Road
Buffalo, NY 14228

INTERNET FORM NLRB-501 (2-08)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WE	RITE IN THIS SPACE
Case	Date Filed
3-CA-276183	April 26, 2021

INSTRUCTIONS:

	AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer		b. Tel. No. 716-859-8589
Kaleida Health		c. Cell No.
		f. Fax No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	
726 Exchange Street Buffalo, New York 14210-1484	Carla DiCanio-Clarke, Director of Strategic Initiatives	g. e-Mail
Bullaio, New York 14210-1404	Ottategic initiatives	cdicanioclarke@kaleidahealth.
		h. Number of workers employed 1,000+
<ul> <li>Type of Establishment (factory, mine, wholesaler, etc.) hospital</li> </ul>	j. Identify principal product or service healthcare	
k. The above-named employer has engaged in and is engagin	ng in unfair labor practices within the meaning of se	ection 8(a), subsections (1) and (list
subsections) (3)		abor Relations Act, and these unfair labor
practices are practices affecting commerce within the mean within the meaning of the Act and the Postal Reorganization		unfair practices affecting commerce
2. Basis of the Charge (set forth a clear and concise statemen	nt of the facts constituting the alleged unfair labor p	oractices)
Since on or about April 23, 2020, the above-name	2012년 : [11] 12] 13] [12] [12] 12] 12] 12] 12] 12] 13] 13] 13] 13] 13] 13] 13] 13] 13] 13	
employees in the exercise of their section 7 rights		refuses to allow employees to
wear Union headbands with the message #Hands	sOffOurMidwives printed on them.	
3. Full name of party filing charge (if labor organization give t	full name including local name and number)	
Full name of party filing charge (if labor organization, give to Communications Workers of America, AFL-CIO	full name, including local name and number)	
190	full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code)	full name, including local name and number)	<sup>4b. Tel. No.</sup> (212) 344-2515
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor	full name, including local name and number)	4b. Tel. No. (212) 344-2515 4c. Cell No.
4a. Address (Street and number, city, state, and ZIP code)	full name, including local name and number)	4c. Cell No.
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor	full name, including local name and number)	4c. Cell No.  4d. Fax No. (212) 425-2947
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor	full name, including local name and number)	4c. Cell No.
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor New York, New York 10005		4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor	which it is an affiliate or constituent unit (to be fille	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail
4a. Address (Street and number, city, state, and ZIP code)  80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of organization) Communications Workers of America	which it is an affiliate or constituent unit <i>(to be fille</i> i, AFL-CIO	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail  d in when charge is filed by a labor
4a. Address (Street and number, city, state, and ZIP code)  80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of arrentization)	which it is an affiliate or constituent unit <i>(to be fille</i> I, AFL-CIO	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail
4a. Address (Street and number, city, state, and ZIP code)  80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of organization) Communications Workers of America  6. DECLARATIO	which it is an affiliate or constituent unit <i>(to be fille</i> ), AFL-CIO  ON  nts are true to the best of my knowledge and belief.	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail  d in when charge is filed by a labor  Tel. No.
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of organization) Communications Workers of America  I declare that I have read the above charge and that the statements	which it is an affiliate or constituent unit (to be fille I, AFL-CIO DN nts are true to the best of my knowledge and belief. umanth Bollepalli, District Counsel	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail  d in when charge is filed by a labor  Tel. No. (718) 350-0591
4a. Address (Street and number, city, state, and ZIP code)  80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of organization) Communications Workers of America  6. DECLARATIO I declare that I have read the above charge and that the statement	which it is an affiliate or constituent unit <i>(to be fille</i> ), AFL-CIO  ON  nts are true to the best of my knowledge and belief.	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail  d in when charge is filed by a labor  Tel. No. (718) 350-0591
4a. Address (Street and number, city, state, and ZIP code) 80 Pine Street, 37thFloor New York, New York 10005  5. Full name of national or international labor organization of organization) Communications Workers of America  I declare that I have read the above charge and that the statements	which it is an affiliate or constituent unit (to be fille I, AFL-CIO DN nts are true to the best of my knowledge and belief. umanth Bollepalli, District Counsel	4c. Cell No.  4d. Fax No. (212) 425-2947  4e. e-Mail  d in when charge is filed by a labor  Tel. No. (718) 350-0591  Office, if any, Cell No.

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD SETTLEMENT AGREEMENT

IN THE MATTER OF Kaleida Health

Case 03-CA-276183

Subject to the approval of the Regional Director for the National Labor Relations Board, the Charged Party and the Charging Party HEREBY AGREE TO SETTLE THE ABOVE MATTER AS FOLLOWS:

POSTING OF NOTICE — After the Regional Director has approved this Agreement, the Regional Office will

POSTING OF NOTICE — After the Regional Director has approved this Agreement, the Regional Office will send copies of the approved Notice to the Charged Party in English and in additional languages if the Regional Director decides that it is appropriate to do so. A responsible official of the Charged Party will then sign and date those Notices and immediately post them in its 1540 Maple Road, Williamsville, New York facility where the Charged Party normally posts notices to employees. If the Employer's place of business is currently closed and a substantial number of employees are not reporting to the facility due to the Coronavirus pandemic or is operating with less than a substantial complement of employees, the 60 consecutive day period for posting will begin when the Employer's place of business reopens and a substantial complement of employees have returned to work. For purposes of this notice posting, a substantial complement of employees is at least 50% of the total number of employees employed by the Employer prior to closing its business due to the Coronavirus pandemic. The Charged Party will keep all Notices posted for 60 consecutive days after the initial posting.

**COMPLIANCE WITH NOTICE** — The Charged Party will comply with all the terms and provisions of said Notice.

SCOPE OF THE AGREEMENT — This Agreement settles only the allegations in the above-captioned case(s), including all allegations covered by the attached Notice to Employees made part of this agreement, and does not settle any other case(s) or matters. It does not prevent persons from filing charges, the General Counsel from prosecuting complaints, or the Board and the courts from finding violations with respect to matters that happened before this Agreement was approved regardless of whether General Counsel knew of those matters or could have easily found them out. The General Counsel reserves the right to use the evidence obtained in the investigation and prosecution of the above-captioned case(s) for any relevant purpose in the litigation of this or any other case(s), and a judge, the Board and the courts may make findings of fact and/or conclusions of law with respect to said evidence.

PARTIES TO THE AGREEMENT — If the Charging Party fails or refuses to become a party to this Agreement and the Regional Director determines that it will promote the policies of the National Labor Relations Act, the Regional Director may approve the settlement agreement and decline to issue or reissue a Complaint in this matter. If that occurs, this Agreement shall be between the Charged Party and the undersigned Regional Director. In that case, a Charging Party may request review of the decision to approve the Agreement. If the General Counsel does not sustain the Regional Director's approval, this Agreement shall be null and void.

AUTHORIZATION TO PROVIDE COMPLIANCE INFORMATION AND NOTICES DIRECTLY TO CHARGED PARTY — Counsel for the Charged Party authorizes the Regional Office to forward the cover letter describing the general expectations and instructions to achieve compliance, a conformed settlement, original notices and a certification of posting directly to the Charged Party. If such authorization is granted, Counsel will be simultaneously served with a courtesy copy of these documents.

Yes	No
Initials	Initials

**PERFORMANCE** — Performance by the Charged Party with the terms and provisions of this Agreement shall commence immediately after the Agreement is approved by the Regional Director, or if the Charging Party does

not enter into this Agreement, performance shall commence immediately upon receipt by the Charged Party of notice that no review has been requested or that the General Counsel has sustained the Regional Director. The Charged Party agrees that in case of non-compliance with any of the terms of this Settlement Agreement that requires it to post a Notice to Employees for the stated time period, and after 14 days' notice from the Regional Director of the National Labor Relations Board of such non-compliance without remedy by the Charged Party, the Regional Director will issue a Complaint that includes the allegations covered by the Notice to Employees, as identified above in the Scope of Agreement section, as well as filing and service of the charge(s), commerce facts necessary to establish Board jurisdiction, labor organization status, appropriate bargaining unit (if applicable), and any other allegations the General Counsel would ordinarily plead to establish the unfair labor practices.

NOTIFICATION OF COMPLIANCE — Each party to this Agreement will notify the Regional Director in writing what steps the Charged Party has taken to comply with the Agreement. This notification shall be given within 5 days, and again after 60 days, from the date of the approval of this Agreement. If the Charging Party does not enter into this Agreement, initial notice shall be given within 5 days after notification from the Regional Director that the Charging Party did not request review or that the General Counsel sustained the Regional Director's approval of this agreement. No further action shall be taken in the above captioned case(s) provided that the Charged Party complies with the terms and conditions of this Settlement Agreement and Notice.

Charged Party		Charging Party	
Kaleida Health		Communications Workers of Amer	rica, AFL-CIO
By: Name and Title	Date	By: Name and Title	Date
Carla Di Canio-Clarke	7/1/2021		
Print Name and Title below	•	Print Name and Title below	
Director Human Resour	res		
Recommended By:	Date	Approved By:	Date
Michael Dahlheimer		PAUL J. MURPHY	
Field Examiner		Regional Director, Region 3	

NATIONAL LABOR
REGION 03
130 S Elmwood Ave Ste 630
Buffalo, NY 14202-2465

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

November 1, 2021

#### VIA EMAIL ONLY

Riane F. Lafferty, Attorney Bond, Schoeneck & King PLLC Avant Building - Suite 900 200 Delaware Avenue Buffalo, NY 14202-2107 rlafferty@bsk.com

Re: Kaleida Health

Case 03-CA-276183

Dear Ms. Lafferty:

The above-captioned case has been closed on compliance. Please note that the closing is conditioned upon continued observance of the informal Settlement Agreement.

Very truly yours,

/s/Miguel Rodriguez

Miguel Rodriguez Deputy to the Assistant General Counsel

cc: Carla Dicanio-Clarke, HR Director Kaleida Health 726 Exchange Street Buffalo, NY 14210-1484 cdicanioclarke@kaleidahealth.org

> Sumanth Bollepalli, District Counsel Communications Workers of America, AFL-CIO 80 Pine Street, 37th Floor New York, NY 10005-1728 sbollepalli@cwa-union.org

randruszko@cwa1168.org

INTERNET FORM NLRB-501

Address Orchard Park NY 14127

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case	Date Filed
3-CA-275934	April 21, 2021

INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT a. Name of Employer b. Tel. No. (716) 568-6648 Kaleida Health System c. Cell No. f. Fax No e. Employer Representative d. Address (Street, city, state, and ZIP code) g. e-Mail 1540 Maple Rd Leanne Pawlak lpawlak@kaleidahealth.org HR Manager NY Williamsville 14221 h. Number of workers employed 1500 i. Type of Establishment (factory, mine, wholesaler, etc.) j. Identify principal product or service Healthcare Facilities Hospital k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) --See additional page--3. Full name of party filing charge (if labor organization, give full name, including local name and number) Robert V. Andruszko CWA Local 1168 4b. Tel. No. 4a. Address (Street and number, city, state, and ZIP code) (716) 341-4746 4c. Cell No. 85 Tanglewood Dr (716) 341-4746 NY Orchard Park 14127 4d. Fax No. 4e. e-Mail randruszko@cwa1168.org 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) AFL-CIO Tel. No. 6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. (716) 341-4746 Office, if any, Cell No. Robert V. Andruszko (716) 341-4746 (signature of representative or person making charge) (Print/type name and title or office, if any) Fax No. e-Mail 85 Tanglewood Dr 04/21/2021 02:49:18 PM

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

(date)

## 8(a)(2)

Within the previous six months, the Employer unlawfully dominated or controlled the operations of a labor organization.

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees by failing to furnish information requested by the union.

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

May 5, 2021

Leanne Pawlak, HR Manager Kaleida Health System 1540 Maple Rd Williamsville, NY 14221

Re: Kaleida Health System

Case 03-CA-275934

Dear Ms. Pawlak:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Paul J. Murphy

PAUL J. MURPHY Regional Director

cc: Robert V. Andruszko CWA Local 1168 85 Tanglewood Dr Orchard Park, NY 14127 2/2/2021 7:55 PM FROM: Office Depot #673 P. 1 / 1

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UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

CHARGE AGAINST EMPLOYER

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DO NOT WRITE IN THIS SPACE
Case Date Filed

3-CA-272192 February 4, 2021

File an original of this charge with NLRB Regional Director in which the alleged unfair labor practice occurred or is occurring.				
EMPLOYER AGAINST WHOM CHARGE IS BROUGHT				
a. Name of Employer	b. Tel. No.			
Kaleida Health (Buffalo General Medic	cal Center)	(716)859-5600		
	,	c. Cell No.		
		(716)323-6638		
d. Address (street, city, state ZIP code)	e. Employer Representative	f. Fax No.		
100 Highstreet, Buffalo, NY 14203	James Kawalec			
, , , , , , , , , , , , , , , , , , , ,	Human Resources	g. e-Mail		
NO.	Transacti Rodossos	ikawalec@kaleidahealth.org		
		h. Dispute Location (City and State)		
Constitution of the Consti		Buffalo, NY		
i. Type of Establishment (factory, nursing home,	j. Principal Product or Service	k. Number of workers at dispute location		
hotel)		·		
Hospital	Health Care	200		
Hospital	Trodici Gara			
I. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a)(1) and (5) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.				
2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices)				
Since about February 2020 and continuing to present, the Employer has failed to bargain collectively and in good faith				
with 1199SEIU United Healthcare Workers East, by allowing supervisory employees and non-bargaining unit				
members perform bargaining unit work.				
Highbors perform pargaining unit work.				
2 Eulineme of early filing charge (if labor experies	ation, give full name, including local name and numb	eń.		
3. Full name of party filing charge (if labor organization, give full name, including local name and number)				

3. Full name of party filing charge (if labor organization, give	e full name, including local name and num	per)
1199SEIU United Healthcare Workers East		4b. Tel. No.
4a. Address (street and number, city, state, and ZIP code) (b) (6), (b) (7)(C)		(b) (6), (b) (7)(C)
(b) (b), (b) (1)(c)		4c. Cell No.
A		4d. Fax No.
		4e. e-Mail (b) (6), (b) (7)(C)
5. Full name of national or international labor organization of	of which it is an affiliate or constituent unit	(to be filled in when charge is filed by a labor
organization)		
1199SEIU United Healthcare Workers East		
6. DECLARATION		T <sub>(b)</sub> <sup>No</sup> (6), (b) (7)(C)
I declare that I have read the above charge and that t	he statements are true to the best of	(b) (b), (b) (7)(C)
(b) (6), (b) (7)(C)		Office, if any, Cell No.
ocusper;	(b) (6), (b) (7)(C)	
161	Print Name and Title	Fax No.
(-1,5,	THE POINT GIRL THE	7 40% ( 740)
Address (b) (6), (b) (7)(C)	Date:	e-Mail
		(b) (6), (b) (7)(C)

# WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

February 17, 2021

James Kawalec, Human Resources Kaleida Health (Buffalo General Medical Center) 100 Highstreet Buffalo, NY 14203

Re: Kaleida Health (Buffalo General Medical

Center)

Case 03-CA-272192

Dear Mr. Kawalec:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Paul J. Murphy

PAUL J. MURPHY Regional Director

ce: (b) (6), (b) (7)(C)

1199SEIU United Healthcare Workers

East

(b) (6), (b) (7)(C)

INTERNET FORM NLRB-501 (2-08)

2421 Main Street

Address Buffalo NY 14214

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case	Date Filed
3-CA-271631	January 21, 2021

INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT b. Tel. No. a. Name of Employer (716) 859-5600 Kaleida Health c. Cell No. f. Fax No. d. Address (Street, city, state, and ZIP code) e. Employer Representative g. e-Mail 726 Exchange st. Carla Dicanio-Clark cdicanioclarke@kaleidahealth.org NY Buffalo 14210 h. Number of workers employed 3000 i. Type of Establishment (factory, mine, wholesaler, etc.) j. Identify principal product or service Healthcare k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) --See additional page--3. Full name of party filing charge (if labor organization, give full name, including local name and number) Aaron Philip Archie Title: Union Organizer 1199SEIU 4b. Tel. No. 4a. Address (Street and number, city, state, and ZIP code) (716) 995-3701 4c. Cell No 2421 Main Street (716) 913-8056 NY Buffalo 14214 4d. Fax No. (716) 876-0930 4e. e-Mail aaron.archie@1199.org 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) National Tel. No. 6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. (716) 995-3701 Office, if any, Cell No. Garon / lashe Aaron Philip Archie Title: Union Organizer (716) 913-8056 (signature of representative or person making charge) (Print/type name and title or office, if any) Fax No. (716) 876-0930 e-Mail

# WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

1/21/2021 15:51:33

(date)

aaron.archie@1199.org

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees by making unilateral changes in terms and conditions of employment.

List Changes	Approximate date of change
Making changes to a already final posted schedule with out the consent of the members	12/30/2020

Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972

February 8, 2021

Carla Dicanio-Clark Kaleida Health 726 Exchange st. Buffalo, NY 14210

Re: Kaleida Health

Case 03-CA-271631

Dear Ms. Dicanio-Clark:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Paul J. Murphy

PAUL J. MURPHY Regional Director

cc: Aaron Philip Archie, Union Organizer 1199SEIU 2421 Main Street Buffalo, NY 14214